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PATENT  
Attorney Docket No. 025098-2802

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

Date of Deposit: June 10, 2002

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated above and is addressed to the U.S. Patent and Trademark Office, 2011 South Clark Place, Customer Window, Box Sequence, Crystal Plaza Two, Lobby, Room 1B03, Arlington, VA 22202.

Jodie M. Rivas

Printed Name

Jodie M. Rivas

Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of: Peter B. Dervan

Serial No.: 09/807,355

Filing Date: July 9, 2001

For: REGULATION OF HER2/NEU  
ONCOGENE EXPRESSION BY  
SYNTHETIC POLYAMIDES

Examiner: Unknown

Group Art Unit: 1646

SEQUENCE LISTING TRANSMITTAL LETTER

U.S. Patent and Trademark Office  
2011 South Clark Place  
Customer Window, Box Sequence  
Crystal Plaza Two, Lobby, Room 1B03  
Arlington, VA 22202

Dear Sir:

In response to the Notification of Missing Requirements mailed April 9, 2002,  
enclosed please find the following:

- [X] Copy of Notification of Missing Requirements (2 pages);
- [X] Statement To Support Filing And Submission In Accordance With  
7 C.F.R. §§ 1.821-1.825 (1 page);
- [X] Computer Disk Containing Sequence Listing;
- [X] Sequence Listing Paper Copy ( 3 pages);
- [X] Amendment to Specification; and
- [X] A return postcard.

In re Application of Peter B. Dervan  
Application No. 09/807,355  
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PATENT  
Attorney Docket No. 025098-2802

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 50-0872 (Order No. 025098-2802). Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-0872 (Order No. 025098-2802).

Respectfully submitted,

Date June 10, 2002

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By 

Michael A. Whittaker  
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UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
 United States Patent and Trademark Office  
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 www.uspto.gov

U.S. APPLICATION NUMBER NO. 09/807,355	FIRST NAMED APPLICANT Peter B. Dervan	ATTY. DOCKET NO. 025098/2802 ✓
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APR 16 2002

I.P. DEPT.  
 FOLEY & LARDNER

INTERNATIONAL APPLICATION NO.

PCT/US99/20971

I.A. FILING DATE

09/10/1999

PRIORITY DATE

09/11/1998

CONFIRMATION NO. 6306

371 FORMALITIES LETTER



\*OC000000007822236\*

Date Mailed: 04/09/2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Priority Document
- Claims
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Drawings
- Initial Application Filing Fees
- Oath or Declaration
- Oath or Declaration
- Original Specification
- Request for Immediate Examination
- Small Entity Statement
- Small Entity Statement

<b>DOCKETING DEPARTMENT</b>	
Due:	6-9-02
Drop Date (DD):	11-9-02
1st Reminder:	5-9-02
Initials:	Man MDC Entered

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

The following items **MUST** be furnished within the period set forth below:

o The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):

- This application does not contain a "Sequence Listing" as a separate part of the disclosure on paper copy or compact disc, as required by 37 CFR 1.821(c).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
- APPLICANT MUST PROVIDE:
  - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
  - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.

o For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

- This application does not contain a "Sequence Listing" as a separate part of the disclosure on paper copy or compact disc, as required by 37 CFR 1.821(c).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

PATRICIA A BOOKER

Telephone: (703) 305-3738

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/807,355	PCT/US99/20971	025098/2802